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PATENT APPLICATION

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q68355

Noriaki IKENAGA, et al.

Appln. No. 10/062,405

Group Art Unit: 1734

Confirmation No.: 4115

Examiner: Not Yet Assigned

Filed: February 05, 2002

For: METHOD AND APPARATUS FOR MODIFYING SURFACE OF CONTAINER MADE OF POLYMERIC COMPOUND

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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Date: August 9, 2002

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**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby
notify the U.S. Patent and Trademark Office of the documents which are listed on the attached
PTO/SB/08 A & B (modified) (substitute for PTO Form 1449) form and/or listed herein and
which the Examiner may deem material to patentability of the claims of the above-identified
application.

One copy of each of the listed documents is submitted herewith, along with a copy of the
corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed: (1) No later than three
months from the application's filing date for an application other than a continued prosecution
application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the
merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

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INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No. 10/062,405

request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Nevertheless, since a Statement can be made, a Statement is submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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Substitute for Form 1449 A & B/PTO

Complete if Known

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

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Application Number	10/062,405
Confirmation Number	4115
Filing Date	February 05, 2002
First Named Inventor	Noriaki IKENAGA
Art Unit	1734
Examiner Name	Not Yet Assigned
Attorney Docket Number	Q68355

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 6,112,695		09/05/2000	Felts
		US 6,001,429		12/14/1999	Martin
		US			
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		EP	0 773 166	A1	05/14/1997	Kirin Beer Kabushiki Kaisha	
		WO	95/22413		08/24/1995	The Coca-Cola Company	
		WO	99/17334		04/08/1999	Tetra Laval Holdings & Finance S.A.	

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.